

# SHORT NOTE ON THE CONVOLUTION OF BINOMIAL COEFFICIENTS

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**ABSTRACT.** We know [1] that, for every non-negative integer numbers  $n, i, j$  and for every real number  $\ell$ ,

$$(1) \quad \sum_{i+j=n} \binom{2i-\ell}{i} \binom{2j+\ell}{j} = \sum_{i+j=n} \binom{2i}{i} \binom{2j}{j},$$

which is well-known to be  $4^n$ . We extend this result by proving that, indeed,

$$(2) \quad \sum_{i+j=n} \binom{ai+k-\ell}{i} \binom{aj+\ell}{j} = \sum_{i+j=n} \binom{ai+k}{i} \binom{aj}{j}$$

for every integer  $a$  and for every real  $k$ , and present new expressions for this value.

We consider the sequence  $\{\binom{an}{n}\}_{n=0}^{\infty}$ , where  $a$  is any integer number, negative, zero or positive, and take the convolution of this sequence with itself, defined by  $P_a(n) = \sum_{i+j=n} \binom{ai}{i} \binom{aj}{j}$ .

When  $a = 2$ , the former is sequence A000984 of [2], the central binomial coefficients, and the latter is sequence A000302 of [2], the powers of 4. In fact (cf. [1]), this can be proved directly using (1), and then the inclusion-exclusion principle. Note that

$$(3) \quad 2P_2(n) = 2^{2n+1} = \sum_{i=0}^{2n+1} \binom{2n+1}{i} = 2 \sum_{i=0}^n \binom{2n+1}{i}.$$

For another identity, define as usual  $[n] = \{1, \dots, n\}$  for any natural number  $n$ , and consider the collection of the subsets of  $[2n]$  with more than  $n$  elements with the same  $(n+1)$ -th element, say  $p$ . Note that  $p = n+1+i$  for some  $i = 0, \dots, n-1$  and that there are  $\binom{n+i}{n}$   $2^{n-i-1}$  subsets in the collection. It follows that the number of all subsets of  $[2n]$  is

$$(4) \quad P_2(n) = 2^{2n} = 2 \sum_{i=0}^{n-1} 2^{n-i-1} \binom{n+i}{i} + \binom{2n}{n} = \sum_{i=0}^n 2^{n-i} \binom{n+i}{i}.$$

We generalize these identities, namely (1), (3) and (4). When  $a = 3$  and  $a = 4$ , we have sequences A006256 and A078995 of [2], and no such simple formulas for  $P_3(n)$  and  $P_4(n)$  are known as in case  $a = 2$ . For these sequences, we obtain, for every real  $\ell$ ,

$$\begin{aligned} \sum_{i+j=n} \binom{3i}{i} \binom{3j}{j} &= \sum_{i+j=n} 2^i \binom{3n+1}{j} = \sum_{i+j=n} 3^i \binom{2n+j}{j} = \sum_{i+j=n} \binom{3i-\ell}{i} \binom{3j+\ell}{j} \\ \sum_{i+j=n} \binom{4i}{i} \binom{4j}{j} &= \sum_{i+j=n} 3^i \binom{4n+1}{j} = \sum_{i+j=n} 4^i \binom{3n+j}{j} = \sum_{i+j=n} \binom{4i-\ell}{i} \binom{4j+\ell}{j} \end{aligned}$$

More generally we obtain the following theorem.

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**Theorem 1.** For every non-negative integer numbers  $i$ ,  $j$  and  $n$ , and for every real numbers  $k$  and  $\ell$ ,

$$\begin{aligned} \sum_{i+j=n} \binom{ai+k-\ell}{i} \binom{aj+\ell}{j} &= \sum_{i+j=n} \binom{ai+k}{i} \binom{aj}{j} \\ (5) \quad &= \sum_{i=0}^n (a-1)^{n-i} \binom{an+k+1}{i} \end{aligned}$$

$$(6) \quad = \sum_{i=0}^n a^{n-i} \binom{(a-1)n+k+i}{i}$$

where we take  $0^0 = 1$ .

For the proof of this theorem we need some technical results.

**Lemma 2.** Let, for any real  $\ell$  and integers  $a$  and  $n$  such that  $n \geq 0$ ,

$$S_{a,\ell}(n) = \sum_{i=0}^n (-1)^i \binom{\ell - (a-1)i}{i} \binom{\ell - ai}{n-i}$$

Then

$$\sum_{i=0}^n \binom{n}{p} S_{a,\ell}(p) = S_{a+1,\ell+n}(n).$$

*Proof.*

$$\begin{aligned} \sum_{i=0}^n \binom{n}{p} S_{a,\ell}(p) &= \sum_{i=0}^n \left[ (-1)^i \binom{\ell - (a-1)i}{i} \sum_{p=i}^n \binom{\ell - ai}{p-i} \binom{n}{p} \right] \\ &= \sum_{i=0}^n \left[ (-1)^i \binom{\ell - (a-1)i}{i} \sum_{p=i}^n \binom{\ell - ai}{\ell - (a-1)i - p} \binom{n}{p} \right] \\ &= \sum_{i=0}^n (-1)^i \binom{\ell - (a-1)i}{i} \binom{\ell + n - ai}{\ell - (a-1)i} \\ &= \sum_{i=0}^n (-1)^i \binom{(\ell + n) - ai}{i, n-i, \ell - ai} \\ &= \sum_{i=0}^n (-1)^i \binom{(\ell + n) - ai}{i} \binom{(\ell + n) - (a+1)i}{n-i} \end{aligned}$$

where we use Vandermonde's convolution in the third equality.  $\square$

**Lemma 3.** With the notation of the previous lemma,

$$S_{a,\ell}(n) = (a-1)^n.$$

*Proof.* First note that we may assume that  $\ell$  is a natural number, since  $S_{a,\ell}(n)$  is a polynomial in  $\ell$ , and thus is constant. Now, suppose that  $S_{a,\ell}(p) = x^p$  for some numbers  $a$ ,  $\ell$ ,  $p$  and  $x$ . Then, from Lemma 2 it follows that  $S_{a+1,\ell+n}(n) = (1+x)^n$ . Hence, all we must prove is that  $S_{a,\ell}(n) = 0$  when  $a = 1$  and  $\ell \in \mathbb{N}$ .

For this purpose, define  $\mathcal{A} = \mathcal{A}_\emptyset$  as the set of  $n$ -subsets of the set  $[\ell] = \{1, 2, \dots, \ell\}$  and, for every non-empty subset  $T$  of  $[\ell]$ ,  $\mathcal{A}_T = \{A \in \mathcal{A} \mid A \cap T = \emptyset\}$ . Now, the result follows immediately from the inclusion-exclusion principle applied to this family.  $\square$

**Lemma 4.** Let  $s$  and  $t$  be positive integers. Then

$$\binom{s+t+1}{j} = \sum_{i=0}^j \binom{s-i}{s-j} \binom{t+i}{i}.$$

*Proof.* Given a subset  $S$  of  $[n]$  with  $k$  elements and  $p \in [n] \setminus S$ , let  $\text{Bef}_p(S) = S \cap [p-1]$  and  $\text{Aft}_p(S) = \{t \in [n-p] \mid t+p \in S\}$ .

Now, let  $A$  be a subset of  $[s+t+1]$  with  $j$  elements and  $p(A)$  be the  $s-j+1$  smallest element of  $[s+t+1]$  which is not in  $A$ . In other words,  $\#\{x \in A \mid x < p(A)\} = j-i$  and  $\#\{x \in A \mid x > p(A)\} = i$ . One can easily see that the mapping

$$\begin{aligned} \varphi : \quad \mathcal{P}_j([s+t+1]) &\rightarrow \bigcup_{0 \leq i \leq j} \mathcal{P}_{j-i}([s-i]) \times \mathcal{P}_i([t+i]) \\ A &\mapsto (\text{Bef}_{p(A)}(A), \text{Aft}_{p(A)}(A)) \end{aligned}$$

is a bijection, with inverse given by  $\psi(B, C) = B \cup \{c + \#C \mid c \in C\}$ , and the union is disjoint.  $\square$

*Proof of Theorem 1.* Let  $\mathfrak{S} = \sum_{i+j=n} \binom{a i+k-\ell}{i} \binom{a j+\ell}{j} = \sum_{i+j=n} (-1)^i \binom{\ell-k'-(a-1)i}{i} \binom{a n+\ell-a i}{j}$ , with  $k' = k+1$ . Then, by Vandermonde's convolution,

$$\begin{aligned} \mathfrak{S} &= \sum_{i+j=n} \left[ (-1)^i \binom{\ell-k'-(a-1)i}{i} \sum_{p+m=j} \binom{a n+k'}{p} \binom{\ell-k'-a i}{m} \right] \\ &= \sum_{p=0}^n \left[ \binom{a n+k'}{p} \sum_{i+m=n-p} (-1)^i \binom{\ell-k'-(a-1)i}{i} \binom{\ell-k'-a i}{m} \right] \end{aligned}$$

Now, (5) follows immediately from Lemma 3 and (6) from Lemma 4.  $\square$

We end this article with a new result that, when we represent by  $\binom{n}{k}$  the number  $\binom{n+k-1}{k}$  of  $k$ -multisets of elements of an  $n$ -set, can be formulated in the following elegant terms.

**Theorem 5.** For every real  $\ell$  and integers  $a, n, i, j$  such that  $n, i, j \geq 0$ ,

$$\sum_{i+j=n} (-1)^i \binom{\ell-a i}{i} \binom{\ell-a i}{j} = a(a-1)^{n-1}.$$

*Proof.* By Pascal's rule,

$$\begin{aligned} \sum_{i+j=n} (-1)^i \binom{\ell-1-(a-1)i}{i} \binom{\ell-a i}{j} &= \sum_{i=0}^n (-1)^i \binom{\ell-(a-1)i}{i} \binom{\ell-a i}{n-i} \\ &\quad - \sum_{i=1}^n (-1)^i \binom{\ell-(a-1)i-1}{i-1} \binom{\ell-a i}{n-i} \\ &= S_{a,\ell}(n) + S_{a,\ell-a}(n-1) \end{aligned}$$

$\square$

**Problem 6.** Give a full combinatorial proof of Theorem 5.

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